Chapter 6: Design Principles

The Resonant Interface
HCI Foundations for Interaction Design
First Edition

by Steven Heim
Chapter 6 Design Principles

• Principles of Interaction Design
• Comprehensibility
• Learnability
• Effectiveness/Usefulness
• Efficiency/Usability
• Grouping
• Stimulus Intensity
• Proportion
• Screen Complexity
• Resolution/Closure
• Usability Goals
Principles of Interaction Design

• How do we create elegant solutions to complex interaction problems?

• How do interaction designers succeed at creating great designs that are powerful and aesthetically appealing?
Principles of Interaction Design
Principles of Interaction Design

Design principles can be used to guide design decisions

• Design principles do not prescribe specific outcomes; they function within the context of a particular design project.

• Design principles guide interaction designers and help them make decisions that are based on established criteria
Gulfs and Principles

• Design principle can be used to determine if there are gulfs of execution or evaluation

• Gulfs of execution relate to the effectiveness principles

• Gulfs of evaluation relate to the efficiency principles
Framework for Design Principles

- Functionality
- Presentation Filter
- Efficiency Usability
- Effectiveness Usefulness

Comprehensibility Barrier | Learnability Barrier
Framework for Design Principles

The framework has the following components:

• **Usability Goals**
  – There are two main usability goals in the framework; comprehensibility and learnability.

• **Design Principle Categories**
  – The framework also divides the design principles into two main groups; efficiency principles and effectiveness principles.

• **Format to Describe Design Principles**
  – The framework uses the format “serves the principle of … which promotes …” to describe the different principles.
  – *Familiarity* serves the principle of *memorability*, which promotes *usability*. 
Framework for Design Principles

**Functionality** - The system must have adequate functionality for a particular task.

**Presentation Filter** - The functionality must be made accessible through the presentation filter (interface).
Comprehensibility Barrier - If the presentation is comprehensible, the comprehensibility barrier will be superseded. This depends on the degree of efficiency/usability in the interface design.

Learnability Barrier – If the interface is comprehensible it will be learnable, there is a direct relationship.

Effectiveness/Usefulness - If the user can learn the interface he can take advantage of the functionality and the interface will, therefore, be useful.
Comprehensibility

**MAXIM**

An interface design that is easy to comprehend will be efficient and effective

- If a user does not understand the interface it will be useless

- A design’s comprehensibility is highly dependent on the way in which the interface communicates its functionality to the user
Learnability

MAXIM

An interface with high usability will be easier to learn

• The learnability of a design is based on comprehensibility: if you can’t understand it, you can’t learn it
Comprehensibility/Learnability

• Learnability and comprehensibility are recursive: we start with comprehensibility which affects learnability, which will in turn increase comprehensibility.

Comprehensibility/Learnability Feedback Loop
Principles of Interaction Design

• Effectiveness/Usefulness
  – Utility
  – Safety
  – Flexibility
  – Stability

• Efficiency/Usability
  – Simplicity
  – Memorability
  – Predictability
  – Visibility
Design Principle Categories

• Effectiveness/Usefulness

Maxim

Effectiveness describes the usefulness of a design

• The effectiveness goal stipulates that a design must fulfill the user’s needs by affording the required functionality
Effectiveness/Usefulness

• Utility - The principle of utility relates to what the user can do with the system.

• Safety - If a design has a high degree of safety, it will prove more useful than a design that involves a high degree of risk.
  
  – Recovery - can be implemented in interaction designs by incorporating appropriate undo functionality and robust error recovery routines.

  *A computer shall not harm your work or, through inaction, allow your work to come to harm.*

  *(Raskin, 2000)*
Effectiveness/Usefulness

• **Flexibility** - A tool that is flexible can be used in multiple environments and may address diverse needs
  
  – **Customization** - A tool would have greater flexibility if people were able to customize the interface according to their personal preferences

• **Stability** - A stable system is a robust system.
  
  – A system that functions consistently well will be more useful than a system that crashes frequently
Efficiency/Usability

Efficiency describes the usability of a design

- The efficiency goal stipulates that a design should enable a user to accomplish tasks in the easiest and quickest way possible without having to do overly complex or extraneous procedures.

  *A computer shall not waste your time or require you to do more work than is strictly necessary.* (Raskin, 2000)
Efficiency/Usability

• **Simplicity** - If things are simple they will be easy to understand and, therefore, easy to learn and remember.
  – **Ockham’s Razor** - Pluralitas non est ponenda sine necessitate - pluralities should not be posited without necessity
  – **80/20 Rule** - The 80/20 rule implies that 80% of an application’s usage involves 20% of its functionality
  – **Satisficing** - Combines the conflicting needs of finding the optimal solution that satisfies all the requirements and the need to settle on a solution that will be sufficient to proceed with the design
Efficiency/Usability

• Simplicity
  - Progressive Disclosure - Show the user only what is necessary
Efficiency/Usability

• Simplicity
  – **Constraints** - Involves limiting the actions that can be performed in a particular design
    Controls the design’s simplicity

Physical
  – **Paths** - constrain movement to a designated location and direction
  – **Axes** - constrain the user’s movement to rotation around an axis
  – **Barriers** - provide spatial constraints that can confine the user’s movement to the appropriate areas of the interface
Efficiency/Usability

• Simplicity
  – Constraints
  • Psychological
    – Conventions - exploit learned behavior to influence a user’s actions
    – Mapping - can influence the way in which people perceive relationships between controls and effects
    – Symbols - can influence the way in which we interact with an interface by defining meaning and constraining our possible interpretations of interface elements
Efficiency/Usability

**Memorability** - Interfaces that have high memorability will be easier to learn and use

- Many different parameters affect memorability:
  - Location
  - Logical Grouping
  - Conventions
  - Redundancy
Efficiency/Usability

• **Predictability** - Predictability involves a person’s expectations and his ability to determine the results of his actions ahead of time.

  – **Consistency-Correctness**
    • Consistency reinforces our associations and, therefore, increases our ability to remember and predict outcomes and processes.
    • Before we strive to be consistent, we must make sure we are correct
Efficiency/Usability

• Predictability
  – Generalizability: can help us use the knowledge we gathered from previous experience and apply it to similar situations
  – Conventions: allow us to use our intuitions
  – Familiarity: familiar menu names and options help users locate objects and functions more easily
  – Location, Location, Location: Not all areas on the screen are created equal
Efficiency/Usability

• Predictability
  – **Modes:** Modes create instability in mental models because they change the way objects function
Efficiency/Usability

• **Visibility** - The principle of visibility involves making the user aware of the system’s components and processes, including all possible functionality and feedback from user actions.

*Show everything at once, and the result is chaos.*
*Don’t show everything, and then stuff gets lost.*

(Norman, 1998, 74)
Efficiency/Usability

**Maxim**

The principles of progressive disclosure and simplicity should be used in conjunction with the principle of visibility to avoid overload

- **Visibility**
  - **Overload:** Following the principle of visibility without also applying progressive disclosure can lead to visual overload
  - **Feedback:** Direct Manipulation interfaces provide immediate visual feedback about user actions. It is the task of the interaction designer to decide what form that feedback takes
Efficiency/Usability

• Visibility
  
  – **Recognition/Recall:** The principle of visibility is based on the fact that we are better at recognition than we are at recall
  
  – **Orientation:** People need to be able to orient themselves, especially in complex information spaces
Grouping

- **Low-level principles** - used to make decisions about specific screen controls, menus and layouts

**Maxim**

Use visual cues to support the logical structure of the interface

- **Gestalt Principles of Perception**
  - Gestalt psychology strives to explain the factors involved in the way we group things
  - At the heart of Gestalt psychology is the idea that we strive to find the simplest solutions to incomplete visual information
Gestalt Principles of Perception

• **Figure-Ground: Basic premise**
  
  – We perceive our environment by differentiating between objects and their backgrounds

![The Rubin Face/Vase Illusion](image)

![Mac Logo](image)
Gestalt Principles of Perception

• The Gestalt Principles of Perception:
  – Proximity
  – Similarity
  – Common Fate
  – Closure
  – Good Continuity
  – Area
  – Symmetry
  – Surroundedness
  – Prägnanz
Gestalt Principles of Perception

• **Proximity Principle** – Objects that are close to each other will be seen as belonging together

| Equidistant | Horizontal Proximity | Vertical Proximity |
Gestalt Principles of Perception

• **Proximity** - Adobe PhotoShop Preferences Dialog
Gestalt Principles of Perception

• **Similarity Principle** – Objects that have similar visual characteristics, such as size, shape or color will be seen as a group and therefore related

<table>
<thead>
<tr>
<th>Rows of Similar Objects</th>
<th>Columns of Similar Objects</th>
<th>Grouped Columns</th>
</tr>
</thead>
<tbody>
<tr>
<td><img src="image1" alt="Diagram" /></td>
<td><img src="image2" alt="Diagram" /></td>
<td><img src="image3" alt="Diagram" /></td>
</tr>
</tbody>
</table>
Gestalt Principles of Perception

- Property Pane from Macromedia’s Dreamweaver
  - Our eyes pick up all of the text boxes because of the strong blue squares and the white areas that they have in common
Gestalt Principles of Perception

• **Common Fate Principle** – Objects that move together are seen as related
Gestalt Principles of Perception

• **Closure Principle** – We tend to see things as complete objects even though there may be gaps in the shape of the objects

```
][ ][ ][
```

```
[ ][ ][ ][ ][
```
Gestalt Principles of Perception

- **Good Continuity Principle** – We tend to see things as smooth, continuous representations rather than abrupt changes
• **The Area Principle** – Objects with small area tend to be seen as the figure, not the ground (also called the smallness principle)
Gestalt Principles of Perception

• **Symmetry Principle** – Symmetrical areas tend to be seen as complete figures that form around their middle
Gestalt Principles of Perception

• Surroundedness Principle – An area that is surrounded will be seen as the figure and the area that surrounds will be seen as the ground
• Prägnanz Principle – We tend to perceive things based on the simplest and most stable or complete interpretation

Visual Conflict with Common Fate

Visual Conflict with Surroundedness
Other Principles of Perception - *Stimulus Intensity*

- We respond first to the intensity of a stimulus and only then do we begin to process its meaning.

<table>
<thead>
<tr>
<th>1</th>
<th>3</th>
<th>9</th>
<th>7</th>
</tr>
</thead>
<tbody>
<tr>
<td>4</td>
<td>8</td>
<td>6</td>
<td>2</td>
</tr>
<tr>
<td>5</td>
<td>7</td>
<td>1</td>
<td>3</td>
</tr>
<tr>
<td>2</td>
<td>4</td>
<td>8</td>
<td>6</td>
</tr>
<tr>
<td>7</td>
<td>9</td>
<td>3</td>
<td>1</td>
</tr>
<tr>
<td>6</td>
<td>2</td>
<td>8</td>
<td>4</td>
</tr>
<tr>
<td>7</td>
<td>1</td>
<td>3</td>
<td>9</td>
</tr>
</tbody>
</table>
Other Principles of Perception – Proportion

• Proportion can be used to represent logical hierarchies
Other Principles of Perception – Proportion

- **Golden Ratio** - The golden ratio expresses the relationship between two aspects of a form such as height to width and must equal 0.618

\[
\frac{a}{b} = \frac{a + b}{a} = \frac{a}{b}
\]
Other Principles of Perception – Proportion

• Golden Ratio
Other Principles of Perception – *Proportion*

- **Fibonacci** - A sequence of numbers in which each number is the sum of the two preceding numbers.
  - The relationship between the numbers in the Fibonacci series is similar to the golden ratio

\[1, 1, 2, 3, 5, 8, 13, 21, 34, 55, 89, 144, 233, 377, 610, 987, \ldots\]
Other Principles of Perception - Screen Complexity

• The measure of complexity developed by Tullis (1984) can be used to calculate the relative complexity, and therefore the difficulty, of a design.
  - This measure of complexity uses information theory (Shannon & Weaver, 1949)

\[ C = -N \sum_{n=1}^{m} p_n \log_2 p_n \]
Other Principles of Perception - *Screen Complexity*

• Formula for calculating the measure of complexity

\[
C = -N \sum_{n=1}^{m} p_n \log_2 p_n
\]

C, complexity of the system in bits
N, total number of events (widths or heights)
m, number of event classes (number of unique widths or heights)
p_n, probability of occurrence of the nth event class
   (based on the frequency of events within that class)
Other Principles of Perception - Screen Complexity

• To calculate the measure of complexity for a particular screen, do the following:
  1. Place a rectangle around every screen element
  2. Count the number of elements and the number of columns (vertical alignment points)
  3. Count the number of elements and the number of rows (horizontal alignment points)
### Other Principles of Perception - *Screen Complexity*

#### TEST RESULTS

<table>
<thead>
<tr>
<th>Summary</th>
<th>Ground</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>GROUND, FAULT T-G</strong></td>
<td></td>
</tr>
<tr>
<td><strong>3 TERMINAL DC RESISTANCE</strong></td>
<td></td>
</tr>
<tr>
<td>&gt; 3500.00 K OHMS T-R</td>
<td></td>
</tr>
<tr>
<td>&gt; 14.21 K OHMS T-G</td>
<td></td>
</tr>
<tr>
<td>&gt; 3500.00 K OHMS R-G</td>
<td></td>
</tr>
<tr>
<td><strong>3 TERMINAL DC VOLTAGE</strong></td>
<td></td>
</tr>
<tr>
<td>- 0.00 VOLTS T-G</td>
<td></td>
</tr>
<tr>
<td>- 0.00 VOLTS R-G</td>
<td></td>
</tr>
<tr>
<td><strong>VALID AC SIGNATURE</strong></td>
<td></td>
</tr>
<tr>
<td><strong>3 TERMINAL AC RESISTANCE</strong></td>
<td></td>
</tr>
<tr>
<td>- 8.82 K OHMS T-R</td>
<td></td>
</tr>
<tr>
<td>- 14.71 K OHMS T-G</td>
<td></td>
</tr>
<tr>
<td>- 62B.52 K OHMS R-G</td>
<td></td>
</tr>
<tr>
<td><strong>LONGITUDINAL BALANCE POOR</strong></td>
<td></td>
</tr>
<tr>
<td>- 39 DB</td>
<td></td>
</tr>
<tr>
<td><strong>COULD NOT COUNT RINGERS DUE TO LOW RESISTANCE</strong></td>
<td></td>
</tr>
<tr>
<td><strong>VALID LINE CKT CONFIGURATION</strong></td>
<td></td>
</tr>
<tr>
<td><strong>CAN DRAW AND BREAK DIAL TONE</strong></td>
<td></td>
</tr>
</tbody>
</table>

#### Vertical alignment points

<table>
<thead>
<tr>
<th>Summary</th>
<th>Ground</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>GROUND, FAULT T-G</strong></td>
<td></td>
</tr>
<tr>
<td><strong>3 TERMINAL DC RESISTANCE</strong></td>
<td></td>
</tr>
<tr>
<td>&gt; 3500.00 K OHMS T-R</td>
<td></td>
</tr>
<tr>
<td>&gt; 14.21 K OHMS T-G</td>
<td></td>
</tr>
<tr>
<td>&gt; 3500.00 K OHMS R-G</td>
<td></td>
</tr>
<tr>
<td><strong>3 TERMINAL DC VOLTAGE</strong></td>
<td></td>
</tr>
<tr>
<td>- 0.00 VOLTS T-G</td>
<td></td>
</tr>
<tr>
<td>- 0.00 VOLTS R-G</td>
<td></td>
</tr>
<tr>
<td><strong>VALID AC SIGNATURE</strong></td>
<td></td>
</tr>
<tr>
<td><strong>3 TERMINAL AC RESISTANCE</strong></td>
<td></td>
</tr>
<tr>
<td>- 8.82 K OHMS T-R</td>
<td></td>
</tr>
<tr>
<td>- 14.71 K OHMS T-G</td>
<td></td>
</tr>
<tr>
<td>- 62B.52 K OHMS R-G</td>
<td></td>
</tr>
<tr>
<td><strong>LONGITUDINAL BALANCE POOR</strong></td>
<td></td>
</tr>
<tr>
<td>- 39 DB</td>
<td></td>
</tr>
<tr>
<td><strong>COULD NOT COUNT RINGERS DUE TO LOW RESISTANCE</strong></td>
<td></td>
</tr>
<tr>
<td><strong>VALID LINE CKT CONFIGURATION</strong></td>
<td></td>
</tr>
<tr>
<td><strong>CAN DRAW AND BREAK DIAL TONE</strong></td>
<td></td>
</tr>
</tbody>
</table>

#### Horizontal alignment points

<table>
<thead>
<tr>
<th>Summary</th>
<th>Ground</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>GROUND, FAULT T-G</strong></td>
<td></td>
</tr>
<tr>
<td><strong>3 TERMINAL DC RESISTANCE</strong></td>
<td></td>
</tr>
<tr>
<td>&gt; 3500.00 K OHMS T-R</td>
<td></td>
</tr>
<tr>
<td>&gt; 14.21 K OHMS T-G</td>
<td></td>
</tr>
<tr>
<td>&gt; 3500.00 K OHMS R-G</td>
<td></td>
</tr>
<tr>
<td><strong>3 TERMINAL DC VOLTAGE</strong></td>
<td></td>
</tr>
<tr>
<td>- 0.00 VOLTS T-G</td>
<td></td>
</tr>
<tr>
<td>- 0.00 VOLTS R-G</td>
<td></td>
</tr>
<tr>
<td><strong>VALID AC SIGNATURE</strong></td>
<td></td>
</tr>
<tr>
<td><strong>3 TERMINAL AC RESISTANCE</strong></td>
<td></td>
</tr>
<tr>
<td>- 8.82 K OHMS T-R</td>
<td></td>
</tr>
<tr>
<td>- 14.71 K OHMS T-G</td>
<td></td>
</tr>
<tr>
<td>- 62B.52 K OHMS R-G</td>
<td></td>
</tr>
<tr>
<td><strong>LONGITUDINAL BALANCE POOR</strong></td>
<td></td>
</tr>
<tr>
<td>- 39 DB</td>
<td></td>
</tr>
<tr>
<td><strong>COULD NOT COUNT RINGERS DUE TO LOW RESISTANCE</strong></td>
<td></td>
</tr>
<tr>
<td><strong>VALID LINE CKT CONFIGURATION</strong></td>
<td></td>
</tr>
<tr>
<td><strong>CAN DRAW AND BREAK DIAL TONE</strong></td>
<td></td>
</tr>
</tbody>
</table>
Other Principles of Perception - Screen Complexity

- Redesigned screen
Other Principles of Perception - *Screen Complexity*

**Complexity vs. Usability**

- Comber and Maltby (1997) found that both overly simple and overly complex screens were low in usability.
Other Principles of Perception - Screen Complexity

• Complexity vs. Usability
  – Comber and Maltby defined usability in terms of the following three components:
    • Effectiveness
    • Learnability
    • Attitude
Other Principles of Perception - Screen Complexity

- Comber and Maltby found tradeoffs between usability and complexity:
  - As complexity decreased, predictability increased.
  - As complexity decreased, it became harder to differentiate among screen objects; the screen became artificially regular.
  - Decreased complexity meant that there were fewer ways to group objects.
  - Excessive complexity made screens look artificially irregular.
  - Increased complexity could occur from increased utility.
Other Principles of Perception - Resolution/Closure

• Resolution/Closure - Relates to the perceived completion of a user’s tasks.
  – When the user’s objective is satisfied, he or she will consider the task complete and move on to the next goal
Usability Goals – Principles - Guidelines

• **Usability Goal**—Easy to use
  – Most people are interested in completing their tasks and do not enjoy struggling with the tools they need to use. One of the most important goals of user-centered design is to make things easy to use.

• **Design Principle**—Simplicity
  – Simple things require little effort and can often be accomplished without much thought. If interaction designs are guided by the principle of simplicity, they will be easier to use.
Usability Goals – Principles - Guidelines

• **Project Guideline**— All dialogue boxes should present only the basic functions that are most often used and that other, less used functions can be accessed using an expandable dialogue with a link for “More Options.”